

Fall 2001 Meeting of the NDLTD Steering Committee - October 26, 2001

## AGENDA

**Site:** Offices of ARL & CNI, 21 Dupont Circle, Suite 800, Washington, DC 20036-1109

**Local Contact:** Joan K. Lippincott, Associate Executive Director, CNI  
[joan@cni.org](mailto:joan@cni.org) 202.296.5098 <http://www.cni.org/>

### Fixed Schedule Items:

8-8:30 Breakfast

8:30-9 Introductions, Review of Agenda and Minutes, Reports (attached)

10-12 International Activities, Funding (Enos Esikuri present) and other topics

12-1 Lunch (and demo of VTLS interface)

1-2 Strategic Planning (Steve Griffin present) - Joan Lippincott

3-4 Standards

- OpenURLs – Eric Van de Velde
- XML
- Metadata

### Other Items:

ETD2002 in Provo – Scott Eldredge, Selby Herrin

ETD2003 in Berlin - Peter Schirmbacher

International ETD Guide - Joe Moxley

Report on the Union Catalog Project - Vinod Chachra (and Ed)

- ETD-MS
- OAI and Harvesting
- Authority Control Service – Lorcan Dempsey

Funding

- ETD2001 surplus – Eric Van de Velde
- Related grants: Ed, ...
- UNESCO
- IMLS
- FIPSE

Presentations about (other) activities related to NDLTD:

- Adobe: Pending work on Guide? What else is desired/appropriate?
- ARL
- National Library of Canada
- OCLC
- UMI
- ...

## REPORTS/BACKUP

### **ADT Report - Tony Cargnelutti [t.cargnelutti@unsw.edu.au]**

The ADT Program is making steady progress, and there is to be major meeting in the next few weeks to discuss issues of governance, sustainability, long term development strategies, relationships, and so on [I mentioned these to Joan in the brief teleconference discussion a couple of weeks back]. I believe these are similar issues being discussed at the NDLTD level. I will send a summary of the meeting in due course.

### **ETD Symposium Proceedings Report - John Hagen [jhagen2@wvu.edu]**

To date we've collected 21 submissions, some from the 2000 and others from the 2001 conference (attached is a pdf print of the administrative page, so you can see the entries collected). Currently, I am waiting on our Office of Academic Computing to create and implement a search / browse public interface page for the WVU ESRA collection (I've requested deadline for end of Fall 2001 semester at the latest). Once the search / browse page is launched, I will send out a final round of solicitation for proceedings submissions, but this time I will include the URL for collection access (this should motivate stragglers to finally submit — folks will actually be able to see their own and other's entries in the database collection); some good psychology will probably go a long way. BTW, the URL for ETD Symposia proceedings is at

[http://www.wvu.edu/~thesis/ETD\\_symposium\\_proceedings.htm](http://www.wvu.edu/~thesis/ETD_symposium_proceedings.htm)

Ultimately, we'd like to be able to offer something tangible for the upcoming ETD Symposium 2002 at BYU (i.e. either distribute a CD-ROM of the collection, or at the very least, promote and publicize the URL to the collection of ETD symposia proceedings). I think too, we should probably solicit submissions for the 2002 conference **in advance** of the event (so we'll have available at the time of the event, if possible). Eventually we'll get the timing right. Please let me know if you or the group has additional thoughts on this project.

### **Report from Guylaine Beaudry [guylaine.beaudry@UMontreal.CA]**

- UNESCO Guide: All our sections are now completed and sent to Joe. Once Joe will have the full first version in English, we will start the translations (French in Montréal and Spanish in Chile).
- Progress at Université de Montréal: We gave training on ETD to 160 doctorate students this semester (use of Word, EndNote, ...). It was a real success. We are working on our local Web site for ETD ([www.theses.umontreal.ca](http://www.theses.umontreal.ca)) where students will find all the information, tutorials, and tools. Our ETDs are there also. The site presently is an "on progress" work. We will have our real first version and a new design during Winter 2002.
- We are presently working on a new process for the production of ETD in XML, we are evaluating, among others, tools for the conversion from PDF to XML and the production with XMetal. Our results will be share with NDLTD members.
- The Dean of Graduate studies of UdeM and me will have in the next days a discussion on our institution joining NDLTD. You will probably receive an official letter from us soon.

### **S.C. membership**

John Eaton nominated Jim Schaeffer from the Georgetown Graduate School. He is the leader of the effort at Georgetown and of course is located in DC so would be easily able to participate in meetings at ARL. I think the addition of a graduate school rep from another school would be healthy for NDLTD.

### **UNESCO**

Tawfik, Mohsen [M.TAWFIK@MEMO.UNESCO.ORG]:

- Representatives are attending the 31 Session of the UNESCO General Conference, held every alternate year with participation from 188 Member States

Plathe, Axel:

- International ETD Guide : Work in progress
- UNESCO \$200,000 grant for ETDs in 2002/2003

Fox: Montevideo meeting

### **URLs for Some Backup Materials**

NDLTD home page <http://www.ndltd.org/>

NDLTD members <http://www.ndltd.org/members/>

NUDL home page (<http://www.nudl.org/>), projects, personnel

ETD Guide Outline: <http://www.etdguide.org/content/default.htm>

Then we followed every link and printed its contents

ETD-MS Versions 1.00 (official), 1.01 (next draft):

- <http://www.ndltd.org/standards/metadata/current.html>
- <http://www.ndltd.org/standards/metadata/etd-ms-v1.01.html>

### **For Reference - IMLS**

- The Institute of Museum and Library Services has announced new priorities for its National Leadership Grant program. National Leadership Grants are available for three categories of library funding (education and training, research and demonstration, and preservation or digitization of materials); three categories of museum funding (museums online, museums in the community, and professional practices); and one category for library and museum collaborations.
- IMLS also announced that the award period for National Leadership Grants has been extended to provide for projects of up to three years.
- The funding priorities are contained in the new National Leadership Grant guidelines and application forms, which will be available either on the IMLS Web site or upon request to IMLS, after November 1. Deadlines for submitting applications are February 1 for library projects, March 1 for museum projects, and April 1 for library and museum collaborations. Director: Joyce Ray (jray@imls.fed.us)

**Attendees**

David Balatti [david.balatti@nlc-bnc.ca]  
Mary Case [marycase@arl.org]  
Vinod Chachra [chachrav@vtls.com]  
Lorcan Dempsey [dempseyl@oclc.org]  
Jane Duffy [duffy.88@osu.edu]  
Scott Eldredge [scott\_eldredge@byu.edu]  
Enos Esikuri [Eesikuri@worldbank.org]  
Donald Fischer [Donald.Fischer@ed.gov]  
Edward A. Fox [fox@vt.edu]  
Jean-Claude Guédon [jean.claude.guedon@UMontreal.CA]  
Steve Griffin [sgriffin@nsf.gov]  
Selby Herrin [sherrin@byu.edu]  
Delphine Lewis [delphine.lewis@il.proquest.com]  
Joan K. Lippincott [joan@cni.org]  
Gail McMillan [gailmac@vt.edu]  
Joseph Moxley [moxley@chumal.cas.usf.edu]  
Peter Schirnbacher [schirnbacher@rz.hu-berlin.de] (will attend)  
Eric F. Van de Velde [evdv@library.caltech.edu]  
Melonie Warfel [mel@adobe.com]

-----Metadata Discussion Led by David Balatti-----

Ed: As requested. Please note that I'm copying an exchange of e-mails that relate to a possible "other agenda items" addition. ... David

-----  
Message from Joan:

David,  
My suggestion would be to put it on the agenda for the steering committee. It is of interest generally and I think more in scope for the full committee to address than the Strategic Planning committee. The Strategic Planning committee might want to interview Pat at some point but I think we would be more interested in the organizational/operational issues from her perspective than in the specific metadata set issues that she would address in her study. OK? Thanks, Joan

-----  
On Mon, 22 Oct 2001, Balatti David wrote:

Joan,

I'm just about to fill in Ed's form about attendance at Friday's Meeting and have a question to raise with you about "other agenda items."

Metadata arises as an issue in any discussion of e-theses projects and we've identified it as something to act on in the near future. Pat Riva, a librarian at McGill University in Montreal, wants to do a project with the National Library of Canada during her sabbatical year. I met with her last Monday, told her about some of my interests in the metadata/e-theses issue and gave her some background reading. On Saturday, she sent me the message copied below and I expect to get back to her before I leave for Washington to say it's a go. I would like to discuss how we might take advantage of Pat's availability and interest in the topic with NDLTD and/or Strategic Planning Committee colleagues. Progress on this topic would, I think, help move a number of our interests forward, e.g. documenting best practices, union catalogue reporting. Any thoughts on whether this is something that should be addressed with the Strategic people or thrown open to the larger group? Ed's got a pretty full agenda for a day that might be fragmented with people coming and going so he may not want to take time for something this specific. On the other hand, it would be good to get input from others before Pat's project gets underway.

David

-----  
Pat Riva's message:

Hi David,

I've been trying to make some progress in getting familiar with electronic theses issues.

I see that, in bits and pieces, quite a bit has been done, although it still needs to come together and get beyond pilot projects.

The one area that does seem to draw on some of my existing expertise is in the area of what metadata elements do we want to require/request, and how can they be provided, and at what stage in the flow. I haven't seen much indication that anyone has looked at using the student-originated metadata as a basis for the catalogue record, nor using the local catalogue record to provide the metadata for the data archives. The Laval workflow includes cataloguing the theses, but this is a side process.

For me the question of using student supplied metadata to form a basis for the cataloguing record is whether this can be done in a cost-effective manner (is the quantity and quality of information provided sufficient so that the cost of transforming and validating it is less than the cost of supplying it in the library)? Same issue for using university supplied metadata to create an Amicus record.

I looked at the NDLTD site and the list of elements they are interested in, and see that, at least for the required elements, they would mainly be compatible with library thesis cataloguing (although the date format includes month and day, while libraries only record years). But the optional elements diverge quite a bit from a standard catalogue record.

I could see some advantage on having a Canadian list of required metadata elements (that includes at least the NDLTD required elements) and a list of recommended additional elements so that Canadian universities are not all going through the same process of making this list. This would be useful no matter how the data is being supplied. There would be nothing to preclude universities from having fuller records either in their catalogues or in administrative processes.

One thing I could work on would be starting this list of elements, giving both a DC element (from the NDLTD list) and a MARC 21 tag, and identifying any content or format incompatibilities. This could also be used if anyone wants to create cataloguing records from the metadata or vice-versa.

I'm not at all sure that McGill would be ready to try the actual file conversion any time soon, though.

I don't have any insight on the file format issues, but that area seems to be well covered by others.

Does this seem at all in line with what you were thinking of?

Pat Riva  
Romance Languages Cataloguer/Bibliographic Database Specialist  
McGill University Libraries  
3459 McTavish Street  
Montreal, Quebec, Canada H3A 1Y1  
(514)398-4790                      fax: (514)398-8919